

A vanishing theorem and symbolic powers of planar point ideals

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Abstract

The purpose of this note is twofold. We present first a vanishing theorem (Theorem A) for families of linear series with base ideal being a fat points ideal. We apply then this result in order to give a partial proof of a conjecture raised by Bocci, Harbourne and Huneke concerning containment relations between ordinary and symbolic powers of planar point ideals (Theorem B).

Keywords vanishing, symbolic power, fat points, postulation problems

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1 Introduction

One of the central problems in the theory of linear series is the study of linear systems of hypersurfaces in projective spaces with assigned base schemes. This problem is related to various other topics, for example to the polynomial interpolation, the Waring problem, the classification of defective higher secant varieties to mention a few in the realms of the algebraic geometry, to the problem of containment relations between ordinary and symbolic powers of ideals in commutative algebra and to problems in combinatorics [8].

Given a finite number s of points P_1, \dots, P_s in a projective space \mathbb{P}^n and fixed integers m_1, \dots, m_s , one is interested in determining the dimension of the linear system $\mathcal{L} = \mathcal{L}_n(t; m_1, \dots, m_s)$ of hypersurfaces of a fixed degree t vanishing in the given set of points with prescribed multiplicities. The *virtual dimension* of this space

$$\mathrm{vdim}(\mathcal{L}) = \binom{n+t}{n} - \sum_{i=1}^s \binom{n+m_i-1}{n} - 1$$

arises by assuming that the conditions imposed by the underlying set of points are independent. The *expected dimension*

$$\mathrm{edim}(\mathcal{L}) = \max \{ \mathrm{vdim}(\mathcal{L}), -1 \}$$

is just a modification of $\mathrm{vdim}(\mathcal{L})$ taking into account the convention that the empty set has dimension -1 . One always has

$$\dim(\mathcal{L}) \geq \mathrm{edim}(\mathcal{L}). \quad (1)$$

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If there is the equality in (1), then we say that the linear system \mathcal{L} is *non-special*. Otherwise the system is *special*.

A subscheme Z of \mathbb{P}^n defined by an ideal of the form

$$\mathcal{I}_Z = \mathfrak{m}_{P_1}^{m_1} \cap \cdots \cap \mathfrak{m}_{P_s}^{m_s}, \quad (2)$$

where \mathfrak{m}_P denotes the maximal ideal of a point $P \in \mathbb{P}^n$ is called a *fat points scheme* and the ideal \mathcal{I}_Z is called a *fat points ideal*. It follows from the long cohomology sequence attached to the twisted structure sequence of Z

$$0 \rightarrow \mathcal{I}_Z(t) \rightarrow \mathcal{O}_{\mathbb{P}^n}(t) \rightarrow \mathcal{O}_Z(t) \rightarrow 0$$

that the system \mathcal{L} is non-special exactly when the cohomology group

$$H^1(\mathbb{P}^n, \mathcal{I}_Z(t)) \quad (3)$$

vanishes. The system \mathcal{L} is called *h^1 -regular* if it is non-special and effective, see Definition 3.1.

In the case of the projective plane, the non-speciality of linear series of type \mathcal{L} is governed by the beautiful geometrical Segre-Harbourne-Gimigliano-Hirschowitz (SHGH for short) Conjecture, see e.g. [1, Section 4] for precise statement and historical background.

Since the SHGH Conjecture seems out of reach at present, it is of interest to provide other criteria ensuring the vanishing of the cohomology group in (3). Our first main result is the following vanishing theorem.

Theorem A. *Let $P_1, \dots, P_s \in \mathbb{P}^2$ be $s \geq 4$ general planar points. Let $m_1 \geq m_2 \geq \dots \geq m_s \geq 1$ be fixed integers. If*

$$t \geq m_1 + m_2 \quad \text{and} \quad \text{vdim}(\mathcal{L}_2(t; m_1, \dots, m_s)) \geq \frac{1}{2}(3m_4^2 - 7m_4 + 2),$$

then

$$h^1(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(t) \otimes \mathfrak{m}_{P_1}^{m_1} \otimes \cdots \otimes \mathfrak{m}_{P_s}^{m_s}) = 0$$

i.e. the system $\mathcal{L}_2(t; m_1, \dots, m_s)$ is non-special.

Turning to the algebraic side of the story, let $\mathcal{J} \subset \mathbb{C}[\mathbb{P}^n] = \mathbb{C}[x_0, \dots, x_n]$ be a homogeneous ideal. The m -th *symbolic power* $\mathcal{J}^{(m)}$ of \mathcal{J} is defined as

$$\mathcal{J}^{(m)} = \mathbb{C}[\mathbb{P}^n] \cap \left(\bigcap_{\mathfrak{p} \in \text{Ass}(\mathcal{J})} \mathcal{J}^m \mathbb{C}[\mathbb{P}^n]_{\mathfrak{p}} \right),$$

where the intersection is taken in the field of fractions of $\mathbb{C}[\mathbb{P}^n]$. If \mathcal{J} is a fat points ideal as in (2), then the symbolic power is simply given by

$$\mathcal{J}^{(m)} = \bigcap_{i=1}^s \mathfrak{m}_{P_i}^{m \cdot m_i}.$$

There has been considerable interest in containment relations between usual and symbolic powers of homogeneous ideals over the last two decades. The most general results in this direction have been obtained with multiplier ideal techniques in characteristic zero by Ein, Lazarsfeld and Smith [4] and using tight closures in positive characteristic by Hochster and Huneke [7]. Applying these results to a homogeneous

ideal \mathcal{J} in the coordinate ring $\mathbb{C}[\mathbb{P}^n]$ of the projective space we obtain the following containment statement

$$\mathcal{J}^{(nr)} \subset \mathcal{J}^r \text{ for all } r \geq 0. \quad (4)$$

There are examples showing that one cannot improve the power of the ideal \mathcal{J} on the right hand side of (4). Nevertheless, it is natural to wonder to which extent this result can be improved for example under additional geometrical assumptions on the zero-locus of \mathcal{J} . In particular, if \mathcal{J} is a fat points ideal, it is natural to wonder for which non-negative integers m , r and j there is the containment

$$\mathcal{J}^{(m)} \subset \mathcal{M}^j \mathcal{J}^r, \quad (5)$$

where \mathcal{M} denotes the irrelevant ideal. Harbourne and Huneke suggested the following answer to that problem, [6, Conjecture 2.1]. This assertion has guided our research leading to this note.

Conjecture. *Let \mathcal{J} be a fat points ideal in \mathbb{P}^n . Then*

$$\mathcal{J}^{(nr)} \subset \mathcal{M}^{r(n-1)} \mathcal{J}^r$$

for all $r \geq 1$.

This conjecture has been proved recently by Harbourne and Huneke for *general* points in \mathbb{P}^2 , [6, Proposition 3.10] and by the first author for *general* points in \mathbb{P}^3 , [2, Theorem 3]. In this note we extend these results to a large family of fat points ideals in \mathbb{P}^2 . More specifically we show

Theorem B. *Let $\mathcal{J} = \mathfrak{m}_{P_1}^{m_1} \cap \dots \cap \mathfrak{m}_{P_s}^{m_s}$ be a fat points ideal supported on $s \geq 9$ general points in \mathbb{P}^2 . If one of the following conditions holds*

- a) at least $s - 1$ among m_i 's are equal (almost homogeneous case);*
- b) $m_1 \geq \dots \geq m_s \geq \frac{m_1}{2}$ (uniformly fat case),*

then the Conjecture above holds, i.e. there is the containment

$$\mathcal{J}^{(2r)} \subset \mathcal{M}^r \cdot \mathcal{J}^r$$

for all $r \geq 1$.

We hope that more technical statements in Theorem 3.2, and Theorem 4.4 could be of independent interest when dealing with problems of similar flavor to those studied in this note.

2 A reduction procedure

In this part we will be concerned with some operations on finite sequences of integers (which later on will be sequences of multiplicities). We begin by fixing some notation.

Definition 2.1. Let $S = (a_1, a_2, \dots, a_k)$ be a sequence of non-negative integers. We call the number

$$\text{size}(S) = \sum_{i=1}^k a_i$$

the *size* of the sequence S .

We say that a sequence (a_1, \dots, a_k) is *dominated* by a sequence (b_1, \dots, b_ℓ) if

$$k \leq \ell \text{ and } a_i \leq b_i \text{ for all } i = 1, \dots, k.$$

The following reduction process was introduced in [3].

Reduction Algorithm with parameter m .

Let $S = (b_1, \dots, b_k, a_1, \dots, a_m)$ be a sequence of positive integers.

$Z_m := \{1, 2, \dots, m\}$

for all $k = m, m-1, \dots, 1$ **do**

$z_k := \max(Z_k)$

if $(a_k < m$ **and** $a_k \leq z_k)$ **then** $r_k := a_k$ **else** $r_k := z_k$ **fi**

$c_k := a_k - r_k$

$Z_{k-1} := Z_k \setminus \{r_k\}$

if $Z_{k-1} = Z_k$ **then** stop **fi**

od

If the above process terminates by **stop**, then we say that the sequence S is *not m -reducible*. Otherwise S is *m -reducible*. The new sequence

$$(b_1, \dots, b_k, c_1, \dots, c_m)$$

is in that case called the *m -reduction of S* . Note that

$$\text{size}(b_1, \dots, b_k, c_1, \dots, c_m) = \text{size}(b_1, \dots, b_k, a_1, \dots, a_m) - \frac{m(m+1)}{2}. \quad (6)$$

We call the numbers r_k appearing in the algorithm the *reducers*. Thus at each stage of the reduction algorithm Z_k is the set of available reducers.

The following examples explain how the Algorithm works.

Example 2.2. In the table below we present the input (m and the indispensable sequence a_1, \dots, a_m), the reducers r_1, \dots, r_m , and the resulting sequence c_1, \dots, c_m , or the word **stop**, if the m -reduction fails. Algorithm steps are omitted.

	m	a_1, \dots, a_m	r_1, \dots, r_m	c_1, \dots, c_m
A)	3	5, 5, 5	1, 2, 3	4, 3, 2
B)	4	5, 5, 3, 1	2, 4, 3, 1	3, 1, 0, 0
C)	3	4, 1, 3	2, 1, 3	2, 0, 0
D)	3	4, 2, 2	-, 2, 2	stop ($Z_1 = Z_2$)

The next example is more involved. We trace the algorithm steps.

Example 2.3. In this example we will perform a sequence of reductions in such a way that the result of j -th reduction will be the input for $(j+1)$ -st reduction. Observe that the Reduction Algorithm works on a sequence of positive integers, so we must shorten the sequence of integers by deleting zeroes at the end. We will start with $(a_1, \dots, a_{10}) = (1, 2, \dots, 10)$ and use m_j -reductions, $m_1 = m_2 = m_3 = 4$, $m_4 = \dots = m_7 = 3$. We will gather all intermediate steps in the table, together with the used reducers. The table shows what happens to each sequence member.

For example the seventh element, $a_7 = 7$, has been altered to 6, then to 5, 4, 1 and finally to 0.

a_1	a_2	a_3	a_4	a_5	a_6	a_7	a_8	a_9	a_{10}	m_j
1	2	3	4	5	6	7	8	9	10	
						-1	-2	-3	-4	4
1	2	3	4	5	6	6	6	6	6	
						-1	-2	-3	-4	4
1	2	3	4	5	6	5	4	3	2	
						-1	-4	-3	-2	4
1	2	3	4	5	6	4	0	0	0	
					-1	-2	-3			3
1	2	3	4	4	4	1				
				-2	-3	-1				3
1	2	3	4	2	1	0				
			-3	-2	-1					3
1	2	3	1	0	0					
	-2	-3	-1							3
1	0	0	0							

This example is continued in Remark 3.5

The reader may check his understanding of the Reduction process verifying the claims in the following two examples.

Example 2.4. The sequence $(2, 3, 4, 5, 2)$ is 4-reducible, its 4-reduction equals to $(2, 2, 1, 1)$.

Example 2.5. The sequence $(1, 2, 3, 3)$ is not 4-reducible (we get $r_4 = r_3 = 3$). The sequence $(3, 2, 4, 2)$ is not 4-reducible (we get $r_2 = r_4 = 2$).

The following Lemma gives first insights into what happens to a sequence of integers under the reduction algorithm.

Lemma 2.6. *Let $S = (b_1, \dots, b_{\ell-1}, b_\ell, \dots, b_r)$ be a given sequence of integers to which the Reduction Algorithm has been successfully applied. Assume that the original sequence S has been altered on positions with labels $\ell - 1$ and ℓ and that the resulting sequence has the form $(\dots, a_{\ell-1}, a_\ell, \dots)$. Then either $a_\ell = 0$ or*

$$b_{\ell-1} - b_\ell < a_{\ell-1} - a_\ell.$$

Proof. In the reduction process either b_ℓ is reduced to zero, or the number r_ℓ , which we subtract from b_ℓ , is chosen as a maximum z_ℓ of Z_ℓ , which gives $z_{\ell-1} < z_\ell$. Since always $r_{\ell-1} \leq z_{\ell-1}$, the number r_ℓ which we subtract from b_ℓ is always greater than the number $r_{\ell-1}$ which we subtract from $b_{\ell-1}$. Thus

$$a_{\ell-1} - a_\ell = b_{\ell-1} - r_{\ell-1} - b_\ell + r_\ell = b_{\ell-1} - b_\ell + r_\ell - r_{\ell-1} > b_{\ell-1} - b_\ell.$$

□

Corollary 2.7. *Assume that (a_1, \dots, a_r) is a sequence of integers obtained by a sequence of successful reductions applied consecutively to the sequence $(1, 2, \dots, r)$ (some of a_j 's might be zero). Then, for every $k = 1, \dots, r$, either $a_k = k$ or $a_k \geq a_{k+1} \geq \dots \geq a_r$. In particular, if $a_k = 0$ then $a_{k+1} = 0$.*

If moreover the k -th position of the sequence has been altered by at least two reductions, then either $a_{k-1} > a_k$ or $a_{k-1} = 0$.

Proof. Let us assume that $a_k < k$. It follows that the element on the k -th position has been altered in the course of at least one reduction. Since reductions work from the right to the left, also each element on the ℓ -th position, for $\ell > k$, has been altered. Therefore it is enough to prove that if a_k has been altered by a reduction, then $a_k \geq a_{k+1}$. This follows immediately from Lemma 2.6. The last property follows straightforward. \square

The next Lemma describes numerical properties of sequences which are not m -reducible. It is going to be important in the proof of Theorem 3.3.

Lemma 2.8 (A consequence of non m -reducibility). *Let (b_1, \dots, b_r) be a sequence of integers which is not m -reducible. Then one of the following two conditions is satisfied:*

- 1). $r < m$ (the sequence is too short) or;
- 2). there exist k, ℓ such that $r - m + 1 \leq k < \ell \leq r$ and $b_k \leq b_\ell$, $b_k < m$ (the sequence has a too flat tail).

Proof. The first property is obvious, so we assume that $r \geq m$. We adjust the notation to match the Reduction Algorithm and write

$$(b_1, \dots, b_r) = (b_1, \dots, b_{r-m}, a_1, \dots, a_m)$$

with $a_i = b_{r-m+i}$ for $i = 1, \dots, m$. Let $r_{k'+1}, \dots, r_m$ be the sequence of reducers and let $c_{k'+1}, \dots, c_m$ be the sequence resulting from the Reduction Algorithm right before the moment it stopped (i.e. we assume that it stopped for k'). The algorithm stops if $Z_{k'-1} = Z_{k'}$. This means that $r_{k'}$ was not an element of $Z_{k'}$, so that in particular this reducer has been used in a previous step, say with index $\ell' > k'$. Moreover this implies that $r_{k'} \neq z_{k'}$, which going back one line in the algorithm implies that $r_{k'} = a_{k'}$ and consequently $a_{k'} < m$. Thus the claim follows with $k = r - m + k'$ and $\ell = r - m + \ell'$. \square

3 A vanishing theorem

We begin by recalling from [3] that on \mathbb{P}^n one can consider slightly more general linear series than of the form $\mathcal{L}_n(t; m_1, \dots, m_s)$. Specifically, let $\mathcal{L}_{G,n}(m_1, \dots, m_s)$ be the vector space spanned by all monomials in the ideal generated by monomials with exponents in a fixed set $G \subset \mathbb{N}^n$. We suppress the index n if the dimension of the ambient space is understood. Thus for example for $n = 2$ we have

$$\mathcal{L}(t; m_1, \dots, m_s) = \mathcal{L}_D(m_1, \dots, m_s),$$

where $D = \{(x, y) \in \mathbb{N}^2 : x + y \leq t\}$. We extend the notions of non-speciality and h^1 -regularity to linear systems of that kind, see [3, Definition 5].

Definition 3.1 (Non-speciality and h^1 -regularity). We say that the linear system $\mathcal{L}_G(m_1, \dots, m_s)$ is non-special if its dimension agrees with the virtual dimension. We say that the system $\mathcal{L}_G(m_1, \dots, m_s)$ is h^1 -regular, if it is non-special and effective.

Theorem 3.2. *Fix positive integers d, m_1, \dots, m_s and let $S_1 = (1, 2, \dots, d, d+1)$. Assume inductively that S_j is m_j -reducible with the reduction equal to S_{j+1} , $j = 1, \dots, s$. If $\text{size}(S_{s+1}) > 0$ is positive, then the linear system $\mathcal{L}(d; m_1, \dots, m_s)$ is h^1 -regular, assuming that the multiplicities are imposed in general points.*

Proof. With notation recalled above, the result follows from [3]. By [3, Definition 11] we can write $D = S_1$. Since S_j is m_j -reducible to S_{j+1} , by [3, Corollary 19] we know that if $\mathcal{L}_{S_{j+1}}(m_{j+1}, \dots, m_s)$ is non-special, then $\mathcal{L}_{S_j}(m_j, m_{j+1}, \dots, m_s)$ is also non-special. We start with the trivial case of $\mathcal{L}_{S_{s+1}}()$ (with no multiplicities imposed), which is trivially non-special, and go back inductively to obtain non-speciality of $\mathcal{L}_{S_1}(m_1, \dots, m_s)$. Observe that non-emptiness of S_{s+1} gives non-emptiness of $\mathcal{L}_{S_1}(m_1, \dots, m_s)$. \square

The above Theorem whereas powerful in very concrete questions, is not easily applicable under general assumptions. We present below its modification which is well suited for the proof of Theorem A.

Theorem 3.3. *Let s, d, m_1, \dots, m_s be positive integers, with $s \geq 4$. Assume that the multiplicities are ordered $m_1 \geq m_2 \geq m_3 \geq \dots \geq m_s$. If $d \geq m_1 + m_2$ and*

$$\binom{d+2}{2} - \sum_{j=1}^s \binom{m_j+1}{2} \geq (2m_4-1)(m_4-1) + 1 - \frac{m_4(m_4+1)}{2} = \frac{3}{2}m_4^2 - \frac{7}{2}m_4 + 2,$$

then $\mathcal{L}(d; m_1, \dots, m_s)$ is non-special and h^1 -regular whenever the left-hand side is non-negative, in particular for $m_4 \geq 2$.

Proof. The key idea of the proof is to apply the Reduction Algorithm s times with m equal to

$$m_1, m_2, m_3, m_5, \dots, m_s, m_4.$$

We begin by showing that the first three reductions are always possible. Indeed, the sequence

$$(1, 2, \dots, d+1) \text{ is } m_1\text{-reducible}$$

since $m_1 \leq d$. After m_1 -reduction we obtain the sequence

$$(1, 2, \dots, d+1-m_1, d+1-m_1, d+1-m_1, \dots, d+1-m_1)$$

in which the terms $d+1-m_1$ appear m_1+1 times.

The m_2 -reduction works for this sequence because we have inequalities $m_2 \leq m_1$ and $d \geq m_1 + m_2$. We obtain the sequence

$$(1, 2, \dots, d+1-m_1, \dots, d+3-m_1-m_2, d+2-m_1-m_2, d+1-m_1-m_2) \quad (7)$$

where $d+1-m_1$ appears at least once.

Again, since $d \geq m_1 + m_2$, the last element in the reduced sequence (7) is at least 1. Since $m_3 \leq m_2$ the m_3 -reduction is possible on the sequence (7). Indeed, no situation in Lemma 2.8 can occur. After this reduction step, some elements in the sequence could be 0 and they are removed from the tail.

If $m_4 = 1$, then also $m_5 = \dots = m_s = 1$ and we are done because the Reduction Algorithm always works for multiplicity 1. So we may assume from now on that $m_4 \geq 2$.

Let us assume that for some $j > 3$ the m_j -reduction failed (and that all previous reductions were possible). Let (a_1, \dots, a_r) denote the state of the sequence before the m_j -reduction. By Lemma 2.8 we have two possibilities.

Case 1. The sequence (a_1, \dots, a_r) is too short to be reduced i.e. $r < m_j \leq m_4$. Thus the sequence (a_1, \dots, a_r) is dominated by $(1, 2, \dots, m_4-1)$ with $\text{size}(1, 2, \dots, m_4-1) = \frac{(m_4-1)m_4}{2}$. A contradiction.

Case 2. Assume that the sequence is $(a_1, \dots, a_k, \dots, a_\ell, \dots, a_r)$, with

$$a_k \leq a_\ell, a_k < m_j \text{ and } r - m_j + 1 \leq k. \quad (8)$$

We have now again two possibilities:

Subcase 2.1. Assume that $a_k = k$. Then $k = a_k < m_j \leq m_4$, and taking into account (8) we have $r \leq 2m_4 - 2$. Thus the sequence (a_1, \dots, a_r) is dominated by $(1, \dots, 2m_4 - 2)$ and

$$\text{size}(1, 2, \dots, 2m_4 - 2) = (2m_4 - 1)(m_4 - 1). \quad (9)$$

A contradiction.

Subcase 2.2. Assume that $a_k < k$. This is the most tricky situation. By Corollary 2.7 $a_k \geq a_{k+1} \geq a_{k+2} \geq \dots \geq a_\ell \geq \dots \geq a_r$. Since $a_k \leq a_\ell$, we have $a_k = a_{k+1} = \dots = a_\ell$.

Assume for a moment that

$$\ell \geq 2m_4. \quad (10)$$

We know that the ℓ -th entry had been reduced at least once. But if it had been reduced twice or more, Corollary 2.7 would imply that $a_{\ell-1} > a_\ell$, which is impossible. So it was reduced exactly once.

Now we claim the following:

Claim 1. ℓ -th entry was **not** reduced by the first three reductions.

Indeed, after the m_1 -reduction it would become $a_\ell = d + 1 - m_1$. But, we have $a_\ell < m_j \leq m_2$, which contradicts our assumption that $d \geq m_1 + m_2$.

Reductions with m_2 and m_3 are also excluded because these reductions work only on elements reduced in the previous step (i.e. either by the m_1 -reduction or by the m_2 -reduction). But then a_ℓ would be reduced at least twice. This contradiction justifies Claim 1.

Thus, the ℓ -th entry was reduced by some m_i -reduction with $i > 3$. Then the assumption (10) gives $a_\ell \geq 2m_4 - m_j \geq m_4$. This is a contradiction again, as the assumptions of Case 2 imply that $m_4 > a_\ell$.

This way we have proved that $\ell \leq 2m_4 - 1$. Hence $k - 1 \leq 2m_4 - 3$ and our sequence is dominated by $(1, 2, \dots, 2m_4 - 3, m_4 - 1, \dots, m_4 - 1)$ (with $m_4 - 1$ appearing at most m_4 times). In fact it appears only twice, this is our next Claim.

Claim 2. In the sequence $(1, 2, \dots, 2m_4 - 3, m_4 - 1, \dots, m_4 - 1)$, the $m_4 - 1$ term appears at most two times.

Assume that $a_r \neq 0$ (otherwise we operate on a shorter sequence). It follows that $a_j \neq 0$ for $j = 1, \dots, r$. The sequence $(a_1, \dots, a_k, \dots, a_\ell, \dots, a_r)$ results from a m_{j-1} -reduction of the sequence $(b_1, \dots, b_k, \dots, b_\ell, \dots, b_r, b_{r+1}, \dots)$ for some $j \geq 6$.

We know that the ℓ -th element was reduced exactly once (otherwise we would have $a_k > a_\ell$), hence $b_\ell = \ell$. Let $\tilde{k} := r - \ell$. Observe that b_r has been reduced to a non-zero a_r . This is possible only when the reducer for b_r has been chosen as a maximum of non-used reducers. Hence either m_{j-1} , as a maximal reducer, has been chosen before, or it is chosen in the (m_{j-1}) -reduction to reduce b_r . It follows that the maximal reducer for b_{r-1} is $m_{j-1} - 1$. Inductively, the maximal reducer for $b_\ell = b_{r-\tilde{k}}$ is $m_{j-1} - \tilde{k}$, but this implies that $b_\ell \leq a_\ell + (m_{j-1} - \tilde{k})$, so we have the following sequence of inequalities:

$$\ell = b_\ell \leq a_\ell + m_{j-1} - \tilde{k} \leq m_4 - 1 + m_4 - \tilde{k} = 2m_4 - 1 + \ell - r,$$

which gives $r \leq 2m_4 - 1$. This proves Claim 2.

That claim, together with the previous bound for (a_1, \dots, a_r) , gives that (a_1, \dots, a_r) is dominated by $(1, 2, \dots, 2m_4 - 3, m_4 - 1, m_4 - 1)$ whose size is $(2m_4 - 1)(m_4 - 1)$.

Concluding, we see that if the size of some sequence obtained during reducing is at least $(2m_4 - 1)(m_4 - 1) + 1$ then it is p -reducible for each $p \leq m_4$.

The size of our sequence $(1, \dots, d + 1)$, at the beginning, is $(d + 1)(d + 2)/2$. After the j -th reduction it is (remember that we reduce using $m_1, m_2, m_3, m_5, \dots$ in this order)

$$\zeta(j) := \frac{(d + 1)(d + 2)}{2} - \frac{m_1(m_1 + 1)}{2} - \frac{m_2(m_2 + 1)}{2} - \frac{m_3(m_3 + 1)}{2} - \sum_{k=5}^{j+1} \frac{m_k(m_k + 1)}{2}.$$

As long as $\zeta(j) \geq (2m_4 - 1)(m_4 - 1) + 1$ holds, the reduction is possible. Note that $\zeta(s - 1) = \text{vdim } \mathcal{L}(d; m_1, \dots, m_s) + 1 + m_4(m_4 + 1)/2$. If $\zeta(s - 1)$ is greater or equal to $(2m_4 - 1)(m_4 - 1) + 1$, then all reductions are possible. \square

An immediate corollary useful for the proof of Theorem B is the following.

Corollary 3.4. *Keeping the notation from Theorem 3.3, if the system in the Theorem is h^1 -regular, then the Castelnuovo-Mumford regularity of the ideal $I = \bigcap_{j=1}^s \mathfrak{m}_{p_j}^{m_j}$ is less or equal $d + 1$.*

Remark 3.5. Continuing Example 2.3, we observe that Theorem 3.2 implies that the system $\mathcal{L}(9; 4, 4, 4, 3, 3, 3, 3)$ is h^1 -regular. With Theorem 3.3 we can show only that $\mathcal{L}(9; 4, 4, 4, 3, 3)$ is h^1 -regular. In fact, from the proof of Theorem 3.3 we know that performing three 4-reductions and two 3-reductions on $(1, \dots, 10)$ is possible, even without performing them as in Example 2.3. This example shows that in some situations Theorem 3.2 is stronger than Theorem 3.3. However Theorem 3.2 is simply not so useful to handle general situations.

4 The containment results

The following result of Harbourne and Huneke [6, Proposition 3.10] has motivated this part of the article.

Theorem 4.1 (Harbourne, Huneke). *Let $\mathcal{J} = \mathfrak{m}_{P_1} \cap \dots \cap \mathfrak{m}_{P_s}$ be an ideal supported on s general points in \mathbb{P}^2 . Then*

$$\mathcal{J}^{(2r)} \subset \mathcal{M}^r \mathcal{J}^r$$

for all $r \geq 1$.

Our arguments in this part rely on the following fact modelled on a result by Harbourne and Huneke, [6, Lemma 2.3].

Lemma 4.2. *Let \mathcal{J} be a homogeneous ideal in $(n + 1)$ variables with 0-dimensional support. Assume that for some non-negative integers r and k*

$$\alpha(\mathcal{J}^{(q)}) \geq r \cdot \text{reg}(\mathcal{J}) + k. \tag{11}$$

Then

$$\mathcal{J}^{(q)} \subset \mathcal{M}^k \cdot \mathcal{J}^r.$$

Proof. Note that since $\alpha(\mathcal{J}^{(q)}) \leq q \cdot \alpha(\mathcal{J})$, we get from (11) that $r \leq q$, which in particular shows the inclusion $\mathcal{J}^{(q)} \subset \mathcal{J}^{(r)}$.

For the Castelnuovo-Mumford regularity in our situation, we have by [5, Theorem 1.1]

$$\text{satdeg}(\mathcal{J}^r) \leq \text{reg}(\mathcal{J}^r) \leq r \cdot \text{reg}(\mathcal{J}),$$

so that

$$\left(\mathcal{J}^{(r)}\right)_t = (\mathcal{J}^r)_t \quad \text{for } t \geq r \cdot \text{reg}(\mathcal{J}).$$

Hence

$$\left(\mathcal{J}^{(q)}\right)_t \subset (\mathcal{J}^r)_t \quad \text{for } t \geq r \cdot \text{reg}(\mathcal{J}). \quad (12)$$

Let h_1, \dots, h_ℓ be minimal degree (i.e. $\leq r \cdot t$) generators of \mathcal{J}^r . For $f \in (\mathcal{J}^{(q)})_t$ we have $f = 0$ if $t < r \cdot \text{reg}(\mathcal{J}) + k$ by the assumption (11). On the other hand, for $t \geq r \cdot \text{reg}(\mathcal{J}) + k$ by (12) there exist homogeneous polynomials f_1, \dots, f_ℓ such that $f = \sum_{i=1}^\ell f_i \cdot h_i$. It follows that

$$\deg(f_i) \geq \deg(f) - \deg(h_i) \geq k$$

for all $i = 1, \dots, \ell$, which provides the desired result. \square

As an immediate consequence of the above Lemma, we obtain the following useful criterion.

Corollary 4.3. *Let \mathcal{J} be a fat points ideal in \mathbb{P}^2 . Assume that*

$$\alpha(\mathcal{J}^{(2r)}) \geq r \cdot (\text{reg}(\mathcal{J}) + 1).$$

Then

$$\mathcal{J}^{(2r)} \subset \mathcal{M}^r \mathcal{J}^r.$$

Before proceeding, it is convenient to introduce the following function

$$\rho(m) := \begin{cases} 0 & \text{if } m = 1 \\ (3m - 1)(m - 2) & \text{if } m \geq 2 \end{cases}.$$

The crucial point in the proof of Theorem B is the following criterion, which follows from the h^1 -regularity statement in Theorem 3.3.

Theorem 4.4. *Let $m_1 \geq m_2 \geq \dots \geq m_s$ be positive integers and consider the fat points ideal $\mathcal{J} = \mathfrak{m}_{P_1}^{m_1} \cap \mathfrak{m}_{P_2}^{m_2} \cap \dots \cap \mathfrak{m}_{P_s}^{m_s}$ of $s \geq 9$ general points. If there exists an integer d such that*

$$d(d + 3) \geq \sum_{i=1}^s m_i(m_i + 1) + \rho(m_4) \quad (13)$$

and

$$d \geq m_1 + m_2 \quad (14)$$

and

$$d + 2 \leq \max \left\{ \frac{2}{\sqrt{s+1}} \sum_{i=1}^s m_i, \quad m_1 + m_2 + m_3 + m_4, \quad 2m_1 \right\}, \quad (15)$$

then $\mathcal{J}^{(2r)} \subset \mathcal{M}^r \mathcal{J}^r$ for all $r \geq 1$.

Proof. It follows from Theorem 3.3 that (13) and (14) imply that $\text{reg}(\mathcal{J}) \leq d+1$, so that \mathcal{J} is generated in degree $d+1$. In order to apply Corollary 4.3 we have to check that the inequality $\alpha(\mathcal{J}^{(2r)}) \geq r(d+2)$ holds.

By assumption (15) we need to consider three cases.
Assume first that

$$d+2 \leq \frac{2}{\sqrt{s+1}} \sum_{i=1}^s m_i. \quad (16)$$

A lower bound on Seshadri constant in $s \geq 9$ general points in \mathbb{P}^2 , see e.g. [9, Theorem 1(a)]

$$\varepsilon(\mathcal{O}_{\mathbb{P}^2}(1), P_1, \dots, P_s) \geq \frac{1}{\sqrt{s+1}} \quad (17)$$

combined with (16) implies that $(\mathcal{J}^{(2r)})_{r(d+2)}$ is empty so that $\alpha(\mathcal{J}^{(2r)}) > r(d+2)$ in that case.

In the second case we assume

$$d+2 \leq m_1 + m_2 + m_3 + m_4.$$

Then the standard Cremona transformation applied to the system $\mathcal{L}(r(d+2)-1; 2m_1, \dots, 2m_s)$ gives

$$\mathcal{L}(2r(d+2-m_1-m_2-m_3-1);$$

$$r(d+2-2m_2-2m_3)-1, r(d+2-2m_1-2m_3)-1, r(d+2-2m_1-2m_2)-1, 2m_4, \dots, 2m_s)$$

which is obviously empty since its degree is less than the fourth multiplicity.

Finally, from the assumption $d+2 \leq 2m_1$ it follows immediately that

$$(\mathcal{J}^{(2r)})_{r(d+2)-1} = 0$$

and we are done. \square

Remark 4.5. Computer experiments suggest that for nearly all sequences of multiplicities the assumptions of Theorem 4.4 are fulfilled. It took even some time (and without computer aid it would not be so easy) to find some examples, where Theorem 4.4 does not prove the desired inclusion. Such sequences of multiplicities are for example

$$(8^9, 1^{103}); (9^{11}, 1^{80}); (20^{12}, 2^{90}); (30^{11}, 3^{130}); (60^{11}, 5^{224}); (130^{12}, 12^{101}),$$

where the notation a^b means that a appears in the sequence b times. All these examples are of similar nature, namely few points with high multiplicity, and a long tail of low multiplicities. It would be interesting to find at least bounds on the initial degree of ideals associated to them in the spirit of the proof of Theorem 4.4.

In the sequel, we will frequently use the following purely numerical observation.

Lemma 4.6. *Let $R, D \geq 0$ be real numbers. If $R^2 - 3R \geq D$, then there exists an integer d such that*

$$a) \ d(d+3) \geq D \text{ and,}$$

$$b) \ d+2 \leq R.$$

Proof. Let $L_0 := \frac{\sqrt{9+4D}-3}{2}$ be the greater root of the equation $L(L+3) - D = 0$. Obviously for any $d \geq L_0$ condition a) in the Lemma holds. In order to check condition b), we show that there exists an integer d in the interval $[L_0, R-2]$. This is immediate, once we show that the length of this interval is at least 1, i.e. $R-2-L_0 \geq 1$ holds. But this follows immediately from the assumption in the Lemma. \square

The proof of Theorem B will be split in two cases.

4.1 Proof of Theorem B a)

We begin with the overview of the structure of the proof of part a) of Theorem B. It follows from

Theorem 4.1	for	$m_1 = \dots = m_s = 1,$
Proposition 4.7	for	$m_1 \geq 2$ and $m_2 = \dots = m_s = 1,$
Theorem 4.9	for	all other cases.

It is convenient in the almost homogeneous case we study here to change a little bit the notation and begin the numbering of points by 0 rather than 1, the point P_0 being the point with distinguished multiplicity. This convention simplifies the notation below. We hope that this will cause no confusion and the reader will have no difficulties to modify Theorem B a) accordingly.

Proposition 4.7. *Let P_0, \dots, P_s be general points on \mathbb{P}^2 , with $s+1 \geq 9$. Let $\mathcal{J} = \mathfrak{m}_{P_0}^{m_0} \cap \mathfrak{m}_{P_1} \cap \dots \cap \mathfrak{m}_{P_s}$, $m_0 \geq 2$. Then $\mathcal{J}^{(2r)} \subset \mathcal{M}^r \mathcal{J}^r$ for all $r \geq 1$.*

Proof. Assume that the regularity of \mathcal{J} is t . The points being general impose independent conditions, so that we have

$$\binom{t}{2} < s + \binom{m_0+1}{2}. \quad (18)$$

We claim that $\alpha(\mathcal{J}^{(2r)}) \geq r(t+1)$. Taking this for granted for the moment, the assertion follows from Lemma 4.2.

Turning to the claim, the lower bound on Seshadri constants (17) implies that

$$\alpha(\mathcal{J}^{(2r)}) \geq \frac{2r(m_0+s)}{\sqrt{s+2}}.$$

Since the multiplicity of an element in $\mathcal{J}^{(2r)}$ in the distinguished point is $2rm_0$ we have additionally

$$\alpha(\mathcal{J}^{(2r)}) \geq 2rm_0.$$

Thus, dividing by r , it suffices to prove that the following

$$2\frac{m_0+s}{\sqrt{s+2}} \geq t+1 \quad \text{or} \quad 2m_0 \geq t+1 \quad (19)$$

holds for all t satisfying (18).

Assume that (19) does not hold and plug

$$t = 2\frac{m_0+s}{\sqrt{s+2}} - 1$$

into (18). After a small computation we obtain the inequality

$$\frac{4m_0^2 + 8m_0s + 4s^2}{s+2} + 2 \leq 2s + m_0^2 + m_0 + \frac{6m_0}{\sqrt{s+2}} + \frac{6s}{\sqrt{s+2}}.$$

Let us assume that $s \geq 34$, so that in particular $\frac{6}{\sqrt{s+2}} \leq 1$. Then

$$\frac{4m_0^2 + 8m_0s + 4s^2}{s+2} \leq 3s + m_0^2 + 2m_0,$$

hence

$$2m_0^2 + 6m_0s + s^2 \leq 6s + sm_0^2 + 4m_0.$$

But for $m_0^2 \leq s$ we have

$$2m_0^2 > 4m_0, \quad 6m_0s > 6s \quad \text{and} \quad s^2 \geq sm_0^2,$$

which gives a contradiction.

Now we do the same for $t = 2m_0 - 1$ obtaining

$$3m_0^2 - 7m_0 + 2 \leq 2s$$

and observe that for $s \leq m_0^2$ and $m_0 \geq 7$ this is absurd.

Thus we are left with a finite number of pairs (m_0, s) to check, namely $m_0^2 \leq s \leq 33$ and $s \leq m_0^2 \leq 36$. In each case we directly compute maximal possible t satisfying (18) and check that it fits to (19). \square

In order to prove Theorem 4.9 below, we need first the following Lemma. We abbreviate $\Sigma = sm + m_0$ and $Q = sm^2 + m_0^2$.

Lemma 4.8. *Let $m_0 \geq 1$, $m \geq 2$ and $s \geq 8$ be integers.*

- a) *if $m_0 \geq \frac{\Sigma}{\sqrt{s+2}}$, then $4m_0^2 \geq Q + \Sigma + 3m^2 + 6m_0$,*
- b) *if $m_0 \leq \frac{\Sigma}{\sqrt{s+2}}$, then $\frac{4}{s+2}\Sigma^2 \geq m_0^2 + (s+3)m^2 + 3\Sigma$.*

Proof. Part a) An elementary computation shows that the assumption in Part a) together with the following inequality

$$3(m_0 + sm)^2 \geq (s+2)(s+3)m^2 + 7(s+2)m_0 + s(s+2)m \quad (20)$$

implies the assertion. So that it is enough to show (20). It follows since for $s \geq 8$ and $m \geq 2$ the following inequalities are satisfied:

$$2s^2m^2 \geq (s+2)(s+3)m^2,$$

$$s^2m^2 \geq s(s+2)m,$$

$$3m_0^2 + 6smm_0 \geq 7(s+2)m_0.$$

Adding them gives (20).

Part b) Adding

$$\Sigma^2 \geq (s+2)m_0^2,$$

which follows from the assumption in this part, to the following inequality

$$3(m_0 + sm)^2 \geq (s+2)(s+3)m^2 + 3(s+2)(m_0 + sm) \quad (21)$$

one obtains the inequality claimed in b). So it suffices to prove (21). For all $s \geq 8$ and $m \geq 2$ we have

$$3m_0 + 6sm \geq 3s + 6,$$

so that it is enough to check that

$$3s^2m \geq (s+2)(s+3)m + 3(s+2)s. \quad (22)$$

For $s \geq 8$ we have

$$2s^2 \geq (s+2)(s+3)$$

so (22) reduces to

$$ms^2 \geq 3s^2 + 6s. \quad (23)$$

This inequality is satisfied for all $m \geq 4$.

For $m = 2$ or $m = 3$ we show (22) slightly differently. Namely, we bound the summands on the right hand side in the following way

$$\frac{5}{4}s^2 \geq (s+2)(s+3) \quad \text{and} \quad \frac{7}{4}s^2m \geq 3(s+2),$$

which holds for all $s \geq 22$.

In all remaining, finitely many cases, i.e. $m = 2$ or $m = 3$ and $8 \leq s \leq 21$ we check the inequality in Part b) directly by hand. \square

We are now in the position to justify part a) of Theorem B.

Theorem 4.9. *Let $m_0 \geq 1$ and $m \geq 2$ be integers. Consider the ideal $\mathcal{I} = \mathfrak{m}_{P_0}^{m_0} \cap \mathfrak{m}_{P_1}^m \cap \dots \cap \mathfrak{m}_{P_s}^m$ of $s+1 \geq 9$ general (fat) points. Then $\mathcal{I}^{(2r)} \subset \mathcal{M}^r \mathcal{I}$ for all $r \geq 1$.*

Proof. Let $D = m_0(m_0 + 1) + s \cdot m(m + 1) + \rho(m)$.

If $m_0 \geq \frac{m_0 + sm}{\sqrt{s+2}}$ we put $R = 2m_0$. Then part a) of Lemma 4.6 implies

$$R^2 - 3R \geq D \quad (24)$$

in this case.

If $m_0 \leq \frac{m_0 + sm}{\sqrt{s+2}}$ we put $R = \frac{2(m_0 + sm)}{\sqrt{s+2}}$. Then part b) in Lemma 4.6 implies again (24) in this case.

Thus (24) is always satisfied and Lemma 4.6 implies that there exists d satisfying (13) and (15). If this d satisfies also (14), then we are done.

Otherwise we work with a new $d' := m_1 + m_2 > d$. This d' satisfies obviously (14) and also (13) and (15) as $d' + 2 \leq m_1 + m_2 + m_3 + m_4$. Thus Theorem 4.4 gives the assertion. \square

4.2 Proof of Theorem B b)

We work under the assumption

$$m_s \geq \frac{m_1}{2}.$$

In the sequel we will use again the following notation:

$$\Sigma = m_1 + \dots + m_s, \quad \text{and} \quad Q = m_1^2 + \dots + m_s^2.$$

Aiming for Theorem 4.11 we prove first the following purely numerical lemma:

Lemma 4.10. *With the above notation and assuming $s \geq 9$ we have*

$$\frac{4}{s+1}\Sigma^2 \geq Q + \left(\frac{6}{\sqrt{s+1}} + 1\right)\Sigma + \varrho(m_4). \quad (25)$$

except for $m_1 = 2, m_2 = \dots = m_s = 1$.

Proof. We relax the assumption that m_i 's are integers (but we keep the monotonicity assumption $m_1 \geq m_2 \dots \geq m_s \geq \frac{m_1}{2}$). Working with fixed m_1, m_2, m_3, m_4 and Σ we adjust all other m_i 's so that the right hand side of (25) becomes maximal possible. Observe that if we subtract from an m_k a positive number a and we add this number to a bigger m_j with $j > k$, Σ will remain the same and Q will become bigger. We proceed this way to get the sequence of m_i 's satisfying the assumed conditions and maximalizing the right hand side. The sequence becomes:

$$m_1, m_2, m_3, m_4 = m_5 = \dots = m_t, m_{t+1}, \frac{m_1}{2}, \dots, \frac{m_1}{2} \text{ with } t \geq 4$$

where $\frac{m_1}{2}$ stands on positions $t+2, \dots, s$ and we have $\frac{m_1}{2} \leq m_{t+1} \leq m_4$.

Now, the idea is to reduce inequality (25) to inequality (26) below, which is easier to prove later. To alleviate the notation we put $x := m_1$ and $y := m_4$,

First we bound the left hand side of the inequality (25) from below:

$$\frac{4}{s+1}\Sigma^2 \geq \frac{4}{s+1} \left(x + (t-1)y + (s-t)\frac{x}{2}\right)^2 = \frac{4}{s+1} \left((t-1)y + (s-t+2)\frac{x}{2}\right)^2.$$

The right hand side of the inequality (25) can in turn be bounded from above replacing m_2 and m_3 by x , m_{t+1} by y and finally $\varrho(y)$ by $3y^2$ as follows:

$$\begin{aligned} & Q + \left(\frac{6}{\sqrt{s+1}} + 1\right)\Sigma + \varrho(y) \leq \\ & \leq \left(3x^2 + (t-2)y^2 + (s-t-1)\frac{x^2}{4}\right) + \\ & + \left(\frac{6}{\sqrt{s+1}} + 1\right) \left(3x + (t-2)y + (s-t-1)\frac{x}{2}\right) + 3y^2 = \\ & = \left((t+1)y^2 + (s-t+11)\frac{x^2}{4}\right) + \left(\frac{6}{\sqrt{s+1}} + 1\right) \left((t-2)y + (s-t+5)\frac{x}{2}\right). \end{aligned}$$

Thus (25), will follow from

$$\begin{aligned} & 4 \left((t-1)y + (s-t+2)\frac{x}{2}\right)^2 \geq \\ & (s+1) \left((t+1)y^2 + (s-t+11)\frac{x^2}{4}\right) + \\ & \left(\frac{6}{\sqrt{s+1}} + 1\right) \left((t-2)y + (s-t+5)\frac{x}{2}\right). \end{aligned} \quad (26)$$

Bounding $\frac{6}{\sqrt{s+1}}$ from above by 2, multiplying by 4 and bringing everything on one side, we get a new inequality which implies (26) of course.

$$\begin{aligned} & (3x^2 - 6x)s^2 + (16xy - 7x^2 - 4y^2 + 6x - 12y)st + 4(x^2 + 4y^2 - 4xy)t^2 + \\ & 4(x^2 - y^2 - 4xy - 9x + 6y)s + 3(16xy - 5x^2 - 12y^2 + 2x - 4y)t + \\ & 5x^2 + 12y^2 - 32xy + 24y - 30x \geq 0 \end{aligned} \quad (27)$$

with constraints

$$x \geq y, 2y \geq x, s \geq 9, t \geq 4 \text{ and } s \geq t. \quad (28)$$

Now, we prove inequality (27) under additional assumption $x \geq 4$. It can be easily checked that the coefficients at t^2 and st are always positive under conditions (28).

Similarly we have positivity for slightly modified (by the underlined terms) coefficients at s , t and the constant term

$$\begin{aligned} \underline{19x^2} + 4(x^2 - y^2 - 4xy - 9x + 6y) &\geq 0, \\ \underline{\frac{9}{2}x^2} + 3(16xy - 5x^2 - 12y^2 + 2x - 4y) &\geq 0, \\ \underline{\frac{33}{2}x^2} + 5x^2 + 12y^2 - 32xy + 24y - 30x &\geq 0. \end{aligned}$$

The proof will be finished, once we show that the inequality

$$6x^2s^2 \geq 12xs^2 + 38x^2s + 9x^2t + 33x^2 \quad (29)$$

is satisfied under conditions (28). Using the estimate $t \leq s$ and dividing by x this is reduced to

$$6xs^2 \geq 12s^2 + (38xs + 9xs + 33x) \quad (30)$$

For $s \geq 17$, the term $3xs^2$ bounds both summands on the right hand side in (30) for all $x \geq 4$.

Similarly, for $x \geq 34$ we can bound both summands in the following manner

$$0.36xs^2 \geq 12s^2 \text{ and } (6 - 0.36)xs^2 \geq 38xs + 9xs + 33x$$

for all $s \geq 9$.

Thus we are left with finitely many cases, namely

$$9 \leq s \leq 16 \text{ and } 4 \leq x \leq 33$$

for which we check (27) directly by some dull computations omitted here.

It remains to check (25) with cases $x = 3$ and $x = 2$ which we leave to a motivated enough reader. Note that Lemma is not true in the case $x = 2$ and $m_2 = \dots = m_s = 1$ (which is covered by Proposition 4.7). \square

We are now in the position to finish the proof of part b) in Theorem B.

Theorem 4.11. *Let $m_1 \geq m_2 \geq \dots \geq m_s \geq \frac{m_1}{2}$ be positive integers. We consider the ideal $\mathcal{J} = \mathfrak{m}_{P_1}^{m_1} \cap \mathfrak{m}_{P_2}^{m_2} \cap \dots \cap \mathfrak{m}_{P_s}^{m_s}$ of $s \geq 9$ general (fat) points. Then $\mathcal{J}^{(2r)} \subset \mathcal{M}^r \mathcal{J}^r$ for all $r \geq 1$.*

Proof. The case $m_1 = \dots = m_s = 1$ is covered by Theorem 4.1.

The case $m_1 = 2$ and $m_2 = \dots = m_s$ follows from Proposition 4.7.

In the remaining cases the inequality (25) in Lemma 4.10 is equivalent to inequality

$$R^2 - 3R \geq D$$

if we define

$$D = \sum m_i(m_i + 1) + \varrho(m_4) \text{ and } R = \frac{2}{\sqrt{s+1}} \sum m_i.$$

By Lemma 4.6 we know then that there exists an integer d satisfying (13) and (15). If $d \geq m_1 + m_2$ we are done by Theorem 4.4. Otherwise, exactly as in the proof of Theorem 4.9, we take $d' = m_1 + m_2$ and we observe that since $d' + 2 \leq m_1 + m_2 + m_3 + m_4$, the integer d' satisfies inequalities (13) and (15); so that Theorem 4.4 ends the proof. \square

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